

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Not for submission under 37 CFR 1.99)	Application Number		10541146
	Filing Date		2006-04-11
	First Named Inventor	Aloys Wobben	
	Art Unit	3745	
	Examiner Name	Ninh H. Nguyen	
Attorney Docket Number		970054.489USPC	

U.S. PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	3411738		1968-11-19	Sargent	
	2	4093402		1978-06-06	Van Hotten	
	3	4533297		1985-08-06	Bassett	
	4	6142738		2000-11-07	Toulmay	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S. PATENT APPLICATION PUBLICATIONS						Remove
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

FOREIGN PATENT DOCUMENTS								Remove
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10541146
Filing Date	2006-04-11
First Named Inventor	Aloys Wobben
Art Unit	3745
Examiner Name	Ninh H. Nguyen
Attorney Docket Number	970054.489USPC

1	4030559	DE	A1	1992-04-02	Schubert		<input type="checkbox"/>
2	4136956	DE	A1	1993-05-13	Schubert		<input type="checkbox"/>
3	9603215	WO	A1	1996-02-08	McCarthy		<input type="checkbox"/>
4	9914490	WO	A1	1999-03-25	LM Glasfiber A/S		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button [Add](#)

**NON-PATENT LITERATURE DOCUMENTS**

[Remove](#)

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T5
	1	Statement of Grounds and Particulars issued by the Australian Patent Office, relating to Australian Patent Application No. 2003296688 in the name of Aloys Wobben, dated July 30, 2008, 11 pages.	<input type="checkbox"/>
	2	IMAMURA, Hiroshi et al, "Numerical Analysis of the Horizontal Axis Wind Turbine with Winglets," JSME International Journal, 1998, pages 170-176, Series B., Vol. 41, No. 1.	<input type="checkbox"/>
	3	SHIMIZU, Yukimaru et al., "Power Augmentation Effects of a Horizontal Axis Wind Turbine with a Tip Vane - Part 1: Turbine Performance and Tip Vane Configuration," Journal of Fluids Engineering, 1994, pages 287-292, Vol. 116.	<input type="checkbox"/>
	4	SHIMIZU, Yukimaru et al., "Power Augmentation Effects of a Horizontal Axis Wind Turbine With a Tip Vane - Part 2: Flow Visualization," Journal of Fluids Engineering, 1994, pages 293-297, Vol. 116.	<input type="checkbox"/>
	5	SPERA, David A. (editor), "Wind Turbine Technology, Fundamental Concepts of Wind Turbine Engineering," 1994, pages 302-304, Asme Press.	<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10541146
Filing Date	2006-04-11
First Named Inventor	Aloys Wobben
Art Unit	3745
Examiner Name	Ninh H. Nguyen
Attorney Docket Number	970054.489USPC

6	VAN HOLTEN, T., "Concentrator Systems for Wind Energy, with Emphasis on Tip-vanes," Wind Engineering, 1981, 17 pages, Vol. 5, No. 1.	<input type="checkbox"/>
7	VAN HOLTEN, T., "Tipvane Research at the Delft University of Technology," Second International Symposium on Wind Energy Systems, October 3rd-6th, 1978, 12 pages, Amsterdam, Netherlands.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.